

# $\omega^\omega$ -bases in free objects over uniform spaces

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July 29, 2016

All reported results are based on the following works by

**[LPT]** A. Leiderman, V. Pestov and A. Tomita

On topological groups admitting a base at identity indexed with  $\omega^\omega$ , 2015 (submitted for publication);

**[BL1]** T. Banakh and A. Leiderman

Local  $\omega^\omega$ -bases in free (locally convex) topological vector spaces, 2016 (submitted for publication);

**[BL2]** T. Banakh and A. Leiderman

Local  $\omega^\omega$ -bases in free (Abelian) topological groups, 2016, preprint;

**[Ban]** T. Banakh

$\omega^\omega$ -bases in topological and uniform spaces, 2016, preprint.

# 1. Background and Motivation

In this talk we consider only Tychonoff topological spaces. For a Tychonoff topological space  $X$  by  $\mathbb{U}(X)$  we denote *the universal uniformity* of  $X$ , i.e., the universal uniformity, compatible with the topology of  $X$ . It is generated by the base consisting of entourages  $[\rho]_{<1} := \{(x, y) \in X \times X : \rho(x, y) < 1\}$  where  $\rho$  runs over all continuous pseudometrics on  $X$ .

## Definition of $A(X), B(X)$

For a uniform space  $X$  its *free Abelian topological group* is a pair  $(A_u(X), \delta_X)$  consisting of an Abelian topological group  $A_u(X)$  and a uniformly continuous map  $\delta_X : X \rightarrow A_u(X)$  such that for every uniformly continuous map  $f : X \rightarrow H$  into an Abelian topological group  $H$  there exists a continuous group homomorphism  $\bar{f} : A_u(X) \rightarrow H$  such that  $\bar{f} \circ \delta_X = f$ .

Replacing the adjective “Abelian” by “Boolean” in this definition, we get the definition of a *free Boolean topological group*  $(B_u(X), \delta_X)$  over a uniform space  $X$ .

For a Tychonoff space  $X$  the free Abelian and Boolean topological groups  $A(X)$  and  $B(X)$  coincide with the free Abelian and Boolean topological groups  $A_u(X)$  and  $B_u(X)$  of the space  $X$  endowed with the universal uniformity  $\mathbb{U}(X)$ .

## Definition of $F(X)$

For a uniform space  $X$  its *free topological group* is a pair  $(F_u(X), \delta_X)$  consisting of a topological group  $F_u(X)$  and a uniformly continuous map  $\delta_X : X \rightarrow F_u(X)$  such that for every uniformly continuous map  $f : X \rightarrow H$  into a topological group  $H$  there exists a continuous group homomorphism  $\bar{f} : F_u(X) \rightarrow H$  such that  $\bar{f} \circ \delta_X = f$ .

For a Tychonoff space  $X$  the free topological group  $F(X)$  coincides with the free topological group  $F_u(X)$  of the space  $X$  endowed with the universal uniformity  $\mathbb{U}(X)$ .

## Definition of $L(X), V(X)$

For a uniform space  $X$  its *free locally convex space* is a pair  $(L_u(X), \delta_X)$  consisting of a locally convex space  $L_u(X)$  and a uniformly continuous map  $\delta_X : X \rightarrow L_u(X)$  such that for every uniformly continuous map  $f : X \rightarrow Y$  into a locally convex space  $Y$  there exists a continuous linear operator  $\bar{f} : L_u(X) \rightarrow Y$  such that  $\bar{f} \circ \delta_X = f$ .

For a Tychonoff topological space  $X$  the free locally convex space  $L(X)$  coincides with the free locally convex space  $L_u(X)$  of the space  $X$  endowed with the universal uniformity  $\mathbb{U}(X)$ .

Deleting “locally convex”, we obtain the definition of a *free topological vector space*  $V(X)$  of  $X$ .

It is well-known that for every Tychonoff topological space  $X$  its free objects  $A(X)$ ,  $B(X)$ ,  $F(X)$ ,  $L(X)$ ,  $V(X)$  exist and are unique up to a topological isomorphism.

It is worth mentioning that the canonical map  $\delta_X$  is a closed topological embedding, so we can identify the space  $X$  with its image  $\delta_X(X)$  and say that  $X$  algebraically generates all free topological objects.

## Some known facts

- $A(X)$  is a quotient topological group of  $F(X)$ ;
- $B(X)$  is a quotient topological group of  $A(X)$ ;
- $L(X)$  and  $V(X)$  algebraically coincide, and the topology of  $V(X)$  is finer than the topology of  $L(X)$ ;
- $A(X)$  naturally embeds into  $L(X)$ ;
- If  $X$  is a  $k$ -space, then  $L(X)$  naturally embeds into the double function space  $C_k(C_k(X))$



## Metrizability of free objects

- For an infinite Tychonoff space  $X$  the free spaces  $L(X)$  and  $V(X)$  are not first-countable (so do not admit neighborhood bases indexed by  $\omega$ ), therefore not metrizable.
- Free topological groups over  $X$  are metrizable iff  $X$  is discrete.
- (P. Nickolas and M. Tkachenko) If  $X$  is an infinite compact metrizable space, then the character  $\chi(A(X)) = \chi(F(X)) = \mathfrak{d}$ .

## Definition of $\omega^\omega$ -indexed local base

A topological space  $X$  is defined to have a neighborhood  $\omega^\omega$ -base at a point  $x \in X$  if there exists a neighborhood base  $(U_\alpha)_{\alpha \in \omega^\omega}$  at  $x$  such that  $U_\beta \subset U_\alpha$  for all elements  $\alpha \leq \beta$  in  $\omega^\omega$ .

We shall say that a topological space has a *local  $\omega^\omega$ -base* if it has an  $\omega^\omega$ -base at each point  $x \in X$ . Evidently, a topological group  $G$  has a *local  $\omega^\omega$ -base* if it has a neighborhood  $\omega^\omega$ -base at the identity  $e \in G$ .

A uniformity  $\mathbb{U}(X)$  on a space  $X$  is defined to have an  $\omega^\omega$ -base if there is a base of entourages  $\{U_\alpha\}_{\alpha \in \omega^\omega} \subset \mathbb{U}(X)$  such that  $U_\beta \subset U_\alpha$  for all  $\alpha \leq \beta$  in  $\omega^\omega$ .

B. Cascales and J. Orihuela were the first who considered uniform spaces admitting an  $\omega^\omega$ -base. They proved that compact spaces with this property are metrizable. For the first time the concept of an  $\omega^\omega$ -base appeared as a tool for studying locally convex spaces that belong to the class  $\mathfrak{U}$  introduced by Cascales and Orihuela. Previously local  $\omega^\omega$ -bases were named  $\mathfrak{U}$ -bases. We change the name to a more natural one.

## Published results

A topological space  $X$  is called a *cosmic  $k_\omega$ -space* if there are metrizable compact subspaces  $\{X_n\}_{n \in \omega}$  covering  $X$  such that  $V \subseteq X$  is open in  $X$  if and only if  $V \cap X_n$  is open in  $X_n$  for every  $n \in \omega$ .

For a cosmic  $k_\omega$ -space  $X$  (in particular, for any metrizable compact  $X$ ), it was shown earlier that

- (a) (2015)  $A(X)$  and  $L(X)$  have a local  $\omega^\omega$ -base;
- (b) (2015)  $F(X)$  has a local  $\omega^\omega$ -base.

## General Problem

Our research concentrates on the following problem:  
characterize those uniform/ Tychonoff spaces  $X$  such that  
free topological groups  $F(X)$ , free Abelian topological groups  
 $A(X)$ , free Boolean topological groups  $B(X)$ ; and  
free locally convex space  $L(X)$ , free topological vector space  $V(X)$   
admit a local  $\omega^\omega$ -base.

## 2. Methods of Solution

- Find and use explicit description of the topology of free objects. In the case of  $A(X)$  and  $B(X)$  such description is known and is easy to use. In the case of  $F(X)$  known description appeared to be less applicable and we developed some new modifications. In the case of  $L(X)$  and  $V(X)$  we found apparently new and completely satisfactory internal description of the topology.
- We used reductions and the relation of dominating between various partially ordered sets.

## Reducibility of posets

Given two posets  $P, Q$ , we shall say that a subset  $D \subset Q$  is *P-dominated in Q* if there exists a monotone map  $f : P \rightarrow Q$  such that for every  $x \in D$  there exists  $y \in P$  with  $x \leq f(y)$ . It follows that a poset  $Q$  is *P-dominated in Q* if and only if  $Q$  reduces to  $P$  i.e. there exists a monotone cofinal map  $f : P \rightarrow Q$ . This kind of reducibility of posets is a bit stronger than the Tukey reducibility  $\leq_T$ , which requires the existence of a function  $f : P \rightarrow Q$  which maps cofinal subsets of  $P$  to cofinal subsets of  $Q$ .

### 3. Solution for $A(X)$ and $B(X)$ , where $X$ is uniform

#### Theorem 1

For a uniform space  $X$  the following conditions are equivalent:

- 1 The free Abelian topological group  $A_u(X)$  has a local  $\omega^\omega$ -base.
- 2 The free Boolean topological group  $B_u(X)$  has a local  $\omega^\omega$ -base.
- 3 The uniformity  $\mathbb{U}(X)$  has an  $\omega^\omega$ -base.



### 3. Solution for $A(X)$ and $B(X)$ , where $X$ is Tychonoff

#### Theorem 2

For a Tychonoff space  $X$  the following conditions are equivalent:

- 1 The free Abelian topological group  $A(X)$  of  $X$  has a local  $\omega^\omega$ -base.
- 2 The free Boolean topological group  $B(X)$  of  $X$  has a local  $\omega^\omega$ -base.
- 3 The universal uniformity  $\mathbb{U}(X)$  of  $X$  has an  $\omega^\omega$ -base.

### 3. Solution for $A(X)$ and $B(X)$ , where $X$ is metrizable

Let us mention the relevant characterization by Ginsburg of Tychonoff spaces  $X$  whose universal uniformity  $\mathbb{U}(X)$  has a countable base: those are exactly metrizable spaces with compact set of non-isolated points.

#### Theorem 3

For a metrizable space  $X$  the following conditions are equivalent:

- 1 The free Abelian topological group  $A(X)$  has a local  $\omega^\omega$ -base.
- 2 The free Boolean topological group  $B(X)$  has a local  $\omega^\omega$ -base.
- 3 the set  $X'$  of non-isolated points of  $X$  is  $\sigma$ -compact.

## Example

Even for a countable space  $X$  with one non-isolated point, the topological group  $A(X)$  need not have a  $\omega^\omega$ -base. Let  $\xi$  be any non-principal ultrafilter. We form the countable topological space  $X = \omega \cup \{\xi\}$  considered as a subspace of  $\beta\omega$  with the induced topology.

In fact, no non-principal ultrafilter is Tukey-reducible to  $\omega^\omega$ . Let  $D$  be a filter of subsets of  $\omega$  and assume that  $D \leq_T \omega^\omega$ . Additionally, we view  $D$  as a subset of the Cantor set  $\{0, 1\}^\omega$ . Thus  $D$  is a metric separable space with a partial order in which the set of predecessors of each element is compact. As a topological space the set  $\omega^\omega$  is of course analytic, and we deduce, by the work of S. Solecki and S. Todorćević, that  $D$  is also analytic. However, it is well known that no non-principal ultrafilter is analytic.

Therefore the topological group  $A(X)$  does not have a  $\omega^\omega$ -base.

## 4. Solution for $L_u(X)$ and $V(X)$ , where $X$ is uniform

### Theorem 4

For a uniform space  $X$  the following conditions are equivalent:

- 1 the free locally convex space  $L_u(X)$  has a local  $\omega^\omega$ -base;
- 2 the uniformity  $\mathbb{U}(X)$  has an  $\omega^\omega$ -base and the poset  $C_u(X)$  is  $\omega^\omega$ -dominated;
- 3 the uniformity  $\mathbb{U}(X)$  has an  $\omega^\omega$ -base and the poset  $C_u(X)$  is  $\omega^\omega$ -dominated in  $\mathbb{R}^X$ .

### Theorem 5

Let  $X$  be a uniform space whose uniformity has an  $\omega^\omega$ -base. If the poset  $C(X)$  is  $\omega^\omega$ -dominated in  $\mathbb{R}^X$ , then the free topological vector space  $V_u(X)$  has a local  $\omega^\omega$ -base.

## 4. Solution for $L(X)$ and $V(X)$ , where $X$ is Tychonoff

### Theorem 6

For a Tychonoff space  $X$  the following conditions are equivalent:

- 1 the free locally convex space  $L(X)$  of  $X$  has a local  $\omega^\omega$ -base;
- 2 the free topological vector space  $V(X)$  of  $X$  has a local  $\omega^\omega$ -base;
- 3 the universal uniformity  $\mathbb{U}(X)$  of  $X$  has an  $\omega^\omega$ -base and the poset  $C(X)$  is  $\omega^\omega$ -dominated;
- 4 the universal uniformity  $\mathbb{U}(X)$  has an  $\omega^\omega$ -base and  $C(X)$  is  $\omega^\omega$ -dominated in  $\mathbb{R}^X$ ;
- 5 the universal uniformity  $\mathbb{U}(X)$  has an  $\omega^\omega$ -base and the space  $X$  is a cosmic  $\sigma$ -compact space.

## Theorem 7

For a Tychonoff space  $X$

- if  $\omega_1 < \mathfrak{b}$ , then the conditions of Theorem 6 are equivalent to the property  $(\omega\mathbb{U})$ : the universal uniformity  $\mathbb{U}(X)$  of  $X$  is  $\omega$ -narrow and has an  $\omega^\omega$ -base;
- if  $\omega_1 = \mathfrak{b}$ , then the conditions of Theorem 6 are not equivalent to  $(\omega\mathbb{U})$ .

## 4. Solution for $L(X)$ and $V(X)$ , where $X$ is metrizable

### Theorem 8

For a metrizable space  $X$  the following conditions are equivalent:

- 1 the free locally convex space  $L(X)$  of  $X$  has a local  $\omega^\omega$ -base;
- 2 the free topological vector space  $V(X)$  of  $X$  has a local  $\omega^\omega$ -base;
- 3  $X$  is  $\sigma$ -compact.

A topological space  $X$  carries the *inductive topology with respect to a family*  $(X_n)_{n \in \omega}$  of subsets of  $X$  if  $V \subseteq X$  is open in  $X$  if and only if  $V \cap X_n$  is open in  $X_n$  for every  $n \in \omega$ .

## Proposition 9

Assume that a Tychonoff space  $X$  has the inductive topology with respect to a countable cover  $\{X_n\}_{n \in \omega}$  of  $X$ .

- If for every  $n \in \omega$  the group  $A(X_n)$  (resp.  $B(X_n)$ ) has a local  $\omega^\omega$ -base, then the group  $A(X)$  (resp.  $B(X)$ ) has a local  $\omega^\omega$ -base, too.
- If for every  $n \in \omega$  the vector space  $L(X_n)$  (resp.  $V(X_n)$ ) has a local  $\omega^\omega$ -base, then the vector space  $L(X)$  (resp.  $V(X)$ ) has a local  $\omega^\omega$ -base, too.



## Proposition 10

Assume that a Tychonoff space  $X$  carries the inductive topology with respect to an increasing cover  $(X_n)_{n \in \omega}$  of its metrizable  $\sigma$ -compact subspaces. Then the groups  $A(X)$  and  $B(X)$ ; and the vector spaces  $L(X)$  and  $V(X)$  all have local  $\omega^\omega$ -bases.

It is known that for any  $k$ -space holds:  $L(X) \subset C_k(C_k(X))$ .

### Theorem 11

If the space  $X$  is a  $k$ -space, then equivalent

- the free locally convex space  $L(X)$  of  $X$  has a local  $\omega^\omega$ -base;
- the double function space  $C_k(C_k(X))$  has a local  $\omega^\omega$ -base.

## 5. Solution for $F(X)$ , where $X$ is separable

### Theorem 12

Let  $X$  be a separable uniform space. The following conditions are equivalent:

- 1 the free topological group  $F_u(X)$  on  $X$  admits a local  $\omega^\omega$ -base;
- 2 the uniformity  $\mathbb{U}(X)$  has an  $\omega^\omega$ -base.

### Theorem 13

Let  $X$  be a separable Tychonoff topological space. The following conditions are equivalent:

- 1 the free topological group  $F(X)$  on  $X$  admits a local  $\omega^\omega$ -base;
- 2 the universal uniformity  $\mathbb{U}(X)$  has an  $\omega^\omega$ -base and the space  $X$  is a cosmic  $\sigma$ -compact space.

## 6. Solution for $F(X)$ , where $X$ is not a $P$ -space

### Theorem 14

Let  $X$  be a Tychonoff space which is not a  $P$ -space. The following conditions are equivalent:

- 1 the free topological group  $F(X)$  has a local  $\omega^\omega$ -base;
- 2 the subspace  $Y = \{xyz^{-1}x^{-1} : x, y, z \in X\}$  of  $F(X)$  has a local  $\omega^\omega$ -base at the unit  $e$  of  $F(X)$ ;
- 3 the universal uniformity  $\mathbb{U}(X)$  of  $X$  has an  $\omega^\omega$ -base and the function space  $C(X)$  is  $\omega^\omega$ -dominated;
- 4 the universal uniformity  $\mathbb{U}(X)$  has an  $\omega^\omega$ -base and the space  $X$  is a cosmic  $\sigma$ -compact space.

## Theorem 15

Let  $X$  be a metrizable space.  $F(X)$  has a local  $\omega^\omega$ -base if and only if  $X$  is either discrete or  $\sigma$ -compact;

## Example

Under  $\omega_1 = \mathfrak{b}$  there exists an  $\omega$ -narrow Tychonoff space  $X$  whose universal uniformity has an  $\omega^\omega$ -base but the free topological group  $F(X)$  fails to have a local  $\omega^\omega$ -base.

## Theorem 16

Assume that  $\mathfrak{b} = \mathfrak{d}$ . Let  $X$  be a Tychonoff space, carrying the inductive topology with respect to an increasing cover  $\{X_n\}_{n \in \omega}$ . If for every  $n \in \omega$  the free topological group  $F(X_n)$  has a local  $\omega^\omega$ -base, then the free topological group  $F(X)$  has a local  $\omega^\omega$ -base, too.

## Problem

Is Theorem 16 true in ZFC?

Thank you!