

Automorphisms of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$

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- We will be working with the Boolean algebras $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$.
- $[A]$ will denote the equivalence class of a set $A \subseteq \lambda$.
- Every [Question](#) is open (as far as I know).

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Consider the Boolean algebras $\mathcal{P}(\kappa)/\text{fin}$, where κ is an infinite cardinal. Are any two of them (consistently) isomorphic?

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This problem was **almost** completely solved in the 1970's:

Theorem (Balcar-Frankiewicz, 1978)

Suppose $\kappa < \lambda$ and $\mathcal{P}(\kappa)/\text{fin}$ and $\mathcal{P}(\lambda)/\text{fin}$ are isomorphic. Then $\kappa = \omega$ and $\lambda = \omega_1$.

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Question (The Katowice Problem)

Is it consistent with ZFC that $\mathcal{P}(\omega)/\text{fin}$ and $\mathcal{P}(\omega_1)/\text{fin}$ are isomorphic?

Theorem (Chodounský, Dow, Hart, de Vries, 2015)

Suppose $\mathcal{P}(\omega)/\text{fin}$ and $\mathcal{P}(\omega_1)/\text{fin}$ are isomorphic. Then there is a nontrivial automorphism of $\mathcal{P}(\omega)/\text{fin}$.

(An automorphism π of $\mathcal{P}(X)/\mathcal{I}$ is **trivial** if there is a function $f : X \rightarrow X$ such that $\pi([A]) = [f''(A)]$ for all $A \subseteq X$.)

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A natural question to ask is: what effect does an isomorphism $\mathcal{P}(\omega)/\text{fin} \simeq \mathcal{P}(\omega_1)/\text{fin}$ have on the automorphism group of $\mathcal{P}(\omega_1)/\text{fin}$?

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A natural question to ask is: what effect does an isomorphism $\mathcal{P}(\omega)/\text{fin} \simeq \mathcal{P}(\omega_1)/\text{fin}$ have on the automorphism group of $\mathcal{P}(\omega_1)/\text{fin}$?

In particular, what automorphisms of $\mathcal{P}(\omega)/\text{fin}$ have properties that are interesting when given to automorphisms of $\mathcal{P}(\omega_1)/\text{fin}$?

For example: if σ is the shift automorphism of $\mathcal{P}(\omega)/\text{fin}$, i.e.

$$\sigma([A]) = [\{n + 1 \mid n \in A\}]$$

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then σ has no fixed points other than $[\emptyset]$ and $[\omega]$.

Question

Is it consistent with ZFC that there exists an automorphism of $\mathcal{P}(\omega_1)/\text{fin}$ whose only fixed points are $[\emptyset]$ and $[\omega_1]$?

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Definition

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(An automorphism of $\mathcal{P}(\omega_1)/\text{fin}$ could take a countable set to an uncountable set, or vice-versa, if $\mathcal{P}(\omega)/\text{fin} \simeq \mathcal{P}(\omega_1)/\text{fin}$).

Theorem (M.-Larson)

Suppose there is a cardinality-preserving automorphism of $\mathcal{P}(\omega_1)/\text{fin}$ whose set of ordinal fixed points is nonstationary. Then $2^\omega = 2^{\omega_1}$.

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The proof goes through **ladder systems**.

Definition

A **ladder system** on a set S of ordinals is a collection $L_\alpha \subseteq \alpha$ ($\alpha \in S$) such that each L_α is cofinal in α and has order-type $\text{cf}(\alpha)$.

Definition

A ladder system L_α ($\alpha \in S$) has **κ -uniformization** if for every family of colorings $f_\alpha : L_\alpha \rightarrow \kappa$, there is a function $F : \sup(S) \rightarrow \kappa$ such that for all $\alpha \in S$, $F \upharpoonright L_\alpha =^* f_\alpha$.

Theorem (M.-Larson)

Let π be a cardinality-preserving automorphism of $\mathcal{P}(\omega_1)/\text{fin}$, and let

$$S_0 = \{\alpha < \omega_1 \mid \pi([\alpha]) \not\leq [\alpha]\}$$

$$S_1 = \{\alpha < \omega_1 \mid \pi([\alpha]) \not\geq [\alpha]\}$$

Then for each $i < 2$ there is a ladder system on a club subset of S_i which satisfies 2-uniformization.

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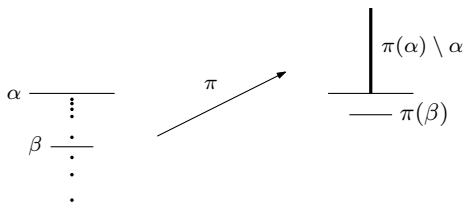
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Theorem (Devlin-Shelah)

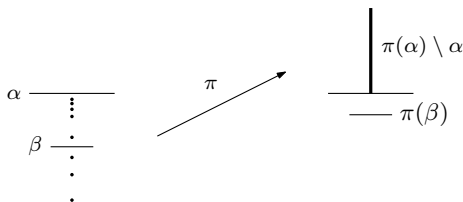
Suppose S_0 and S_1 are subsets of ω_1 such that $S_0 \cup S_1$ contains a club, and each S_i supports a ladder system with 2-uniformization. Then $2^\omega = 2^{\omega_1}$.

Proof (for S_0): Suppose $\pi([\alpha]) \not\leq [\alpha]$ but $\pi([\beta]) \leq [\alpha]$ for all $\beta < \alpha$. (This is where we need a club subset of S_0 .)

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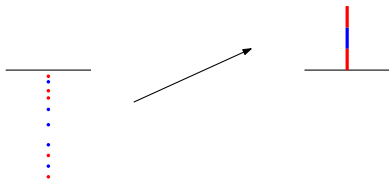


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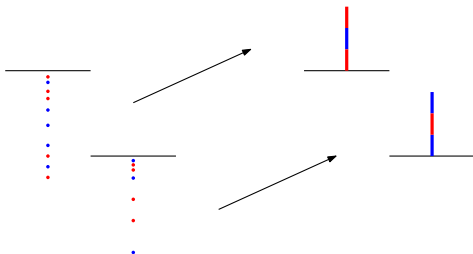


The *excess*, when pulled back through π^{-1} , is infinite and has finite intersection with every $\beta < \alpha$, hence is cofinal in α and has order-type ω . Call this set L_α .

Now suppose a coloring $f_\alpha : L_\alpha \rightarrow 2$ is given for each such α .
Then π defines colorings on each excess set.

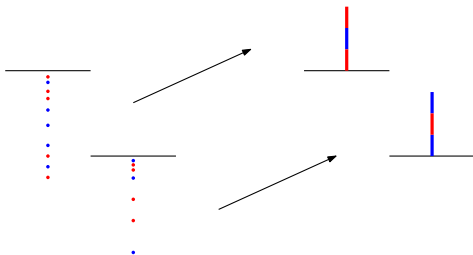


Now suppose a coloring $f_\alpha : L_\alpha \rightarrow 2$ is given for each such α .
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Since each excess set is countable and lies above its α , we can thin out the α s to make the excess sets disjoint.

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Then we can just put their colorings together. The image of this coloring under π^{-1} uniformizes the f_α 's. □

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Question

Is there consistently a nontrivial automorphism of $\mathcal{P}(\lambda)/\text{ctble}$ for some uncountable λ ?

Definition

We say that a set of reals $X \subseteq \mathbb{R}$ is a Q_B -set if for every $Y \subseteq X$, there is a Borel $B \subseteq \mathbb{R}$ such that $B \cap X = Y$.

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Remark

If there is a Q_B -set X of size λ , then there is a countable family of subsets of λ which generates $\mathcal{P}(\lambda)$ as a σ -algebra. Note also that $\mathcal{P}(\lambda)/\text{ctble}$ is countably complete (assuming cf $\lambda > \omega$).

Theorem (Larson-M.)

Suppose there exists a Q_B -set X of size λ , where $\text{cf } \lambda > \omega$.

Then the following are equivalent.

- 1. There exists a Q_B set Y of size λ such that $X \cap Y = \emptyset$ and for every Borel B , $|B \cap X| + \aleph_0 = |B \cap Y| + \aleph_0$.*

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Corollary

Suppose that there exists a Q_B -set of size λ , and for every pair of Q_B -sets X and Y of size λ , $X \cup Y$ is also a Q_B -set. Then there is no nontrivial, cardinality-preserving automorphism of $\mathcal{P}(\lambda)/\text{ctble}$.

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$$\pi : \mathcal{P}(X)/\text{ctble} \rightarrow \mathcal{P}(Y)/\text{ctble}$$

such that there is no bijection $f : X \rightarrow Y$ such that $\pi([A]) = [f''(A)]$ for all $A \subseteq X$.

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Here it is: for all Borel $B \subseteq \mathbb{R}$,

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- π is an isomorphism: because X and Y are Q_B sets.
- π is nontrivial: suppose $f : X \rightarrow Y$ is such that $f''(B \cap X) \Delta (B \cap Y)$ is countable for every Borel B . Then there is a countable set S such that

$$f''(N \cap X) \Delta (N \cap Y) \subseteq S$$

for every N in a fixed countable basis for \mathbb{R} . It follows that $f = \text{id}$ off of S , hence $X \cap Y \neq \emptyset$, a contradiction. \square

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If no, then we've found a nontrivial automorphism of $\mathcal{P}(\omega_1)/\text{ctble}$. If yes, then $\mathcal{P}(\omega)/\text{fin} \simeq \mathcal{P}(\omega_1)/\text{fin}$ implies that every automorphism of $\mathcal{P}(\omega_1)/\text{ctble}$ is trivial.

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Theorem (Fleissner-Miller, 1980)

It is consistent with ZFC that there exists a Q -set X of size ω_1 such that $X \cup \mathbb{Q}$ is not a Q -set.

(It's easy to see that $X \cup \mathbb{Q}$ is a Q_B set, though.)

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Theorem (Larson-M.)

Suppose π is an automorphism of $\mathcal{P}(2^\kappa)/\mathcal{I}_{\kappa^+}$ which is trivial on every set of size κ^+ . Then π is trivial.

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Remark

The function $f : 2^\kappa \rightarrow 2^\kappa$ defined in the proof is definable for any automorphism π of $\mathcal{P}(2^\kappa)/\mathcal{I}_{\kappa^+}$, and witnesses the triviality of π on a certain κ -complete ideal. The hypothesis on π is used to show that this ideal is everything.

Question

Is there, consistently, an isomorphism $\mathcal{P}(\omega_1)/\text{fin} \rightarrow \mathcal{P}(\omega)/\text{fin}$ which is trivial on every countable subset of ω_1 ?

Thank you!